



About KESTRA FINANCIAL

Headquartered in Austin, Texas, Kestra Financial provides an industry-leading platform that empowers sophisticated independent financial professionals to provide clients with superior service. With an energetic culture rich in reinvention and advocacy, we've developed integrated business management technology that offers exceptional scale and efficiency.

Financial professionals who partner with Kestra Financial have access to a wide range of tools and services they can use to help clients achieve their financial goals, including investment advisory, brokerage, and insurance services. Before being offered to clients, certain products must pass a rigorous vetting and due diligence process performed by a team of experienced professionals at Kestra Financial.

STRENGTH AND STABILITY



Broker-Dealer
Established in
1997



1,700+
Financial Professionals

95%

Financial
Professional
Retention



400+
Home Office Employees



**Assets Under
Advisement¹**

\$103 billion



**Assets Under
Management²**

\$51 billion



Total Revenue³

\$790 million

Kestra Financial, Inc. is the parent company of Kestra Investment Services, LLC, member FINRA/SIPC, Kestra Advisory Services, LLC, and Kestra Private Wealth Services, LLC. Securities offered through Registered Representatives of Kestra Investment Services, LLC. Investment Advisory Services offered through Investment Advisor Representatives of Kestra Advisory Services, LLC or Kestra Private Wealth Services, LLC. For more information about Kestra Financial, visit www.kestrafinancial.com.

1. As of Dec. 31, 2022, assets include Kestra Investment Services, LLC, Kestra Advisory Services, LLC, Kestra Private Wealth Services, LLC, and Kestra Institutional Services
2. As of Dec. 31, 2022, assets include Kestra Advisory Services, LLC, Kestra Private Wealth Services, LLC, and Kestra Institutional Services
3. As of Dec. 31, 2022, includes combined revenue of Kestra Financial, Inc. and its subsidiaries